



Data protection information under the Swiss Federal Act on Data Protection and EU General Data Protection Regulation for prospective clients

The following information provides an overview of how we process your personal data and your rights under data protection law.

1. Who is responsible for the data processing and who can I contact in this regard?

<p>Controller: Deutsche Bank (Switzerland) Ltd Place des Bergues 3 Case Postale 1211 Genève 1 Tel: +41 22 739 0111 Fax: +41 22 739 0700</p>	<p>Our internal data protection officer may be contacted at: Deutsche Bank (Switzerland) Ltd Data protection officer Hardstrasse 201, Prime Tower 8005 Zürich Tel: +41 58 111 0111 E-Mail: db.dpo@db.com</p>
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2. What sources and data do we use?

We process personal data which we receive from our prospective clients in the context of our discussion regarding a potential future business relationship. In addition, we also process personal data from publicly available sources (e. g., commercial registers and registers of associations, press, media, internet) which we lawfully obtain and are permitted to process.

Relevant personal data collected in dealing with prospective clients may be for example:

Name, address / other contact information (telephone, e-mail address), date / place of birth, gender, nationality, marital status, legal capacity, occupation / partner type (employed / self-employed), residential status (rental / ownership), financial situation, identification data (e. g. identification document data).

3. Why do we process your data (purpose of the processing) and on what legal basis?

We process the aforementioned personal data in compliance with the provisions of the Swiss Federal Act on Data Protection (FADP) and if applicable the EU General Data Protection Regulation (GDPR).

a. for the purposes of safeguarding legitimate interests

Where necessary, we process your data in order to safeguard the legitimate interests pursued by us or by a third party, e. g.:

- Preventing crimes
- Video surveillance to safeguard against trespassers, to gather evidence in the event of robbery or fraud or to document disposals and deposits
- Measures for building and systems security (e. g., admittance control)
- Measures to ensure against trespassing

b. for the performance of contractual obligations

The processing of personal data is carried out in order to perform banking transactions and financial services pursuant to contracts with our clients or to take steps at your request prior to entering into a contract.

The purposes of the data processing may include, among other things, requirements analysis, advice, asset management and transactional services. For further details on the purpose of the data processing, please refer to the respective contractual documentation and terms and conditions which have been provided to you.

c. on the basis of your consent

Insofar as you have granted us consent to the processing of personal data for specific purposes (e. g., for marketing purposes), the lawfulness of such processing is based on your consent. Any consent granted may be revoked at any time. This also applies to the revocation of declarations of consent that are granted to us prior to the entry into force of the EU General Data Protection Regulation, i. e., prior to 25 May 2018 or any entry into force of the revised Swiss Federal Act on Data Protection. Please be advised that the revocation shall only have effect for the future. Any processing that was carried out prior to the revocation shall not be affected thereby.

d. for compliance with a legal obligation or in the public interest

As a bank, we are also subject to various legal obligations, e.g. Anti-Money Laundering Act (Geldwäschereigesetz). Other purposes of processing include identity and age verification, anti-fraud and anti-money laundering measures as well as the assessment and management of risks in the bank and the Group.



4. Who receives my data or who can access my data?

Within the bank, those offices are given access to your data which require them in order to present to you the bank and its services. Service providers and vicarious agents employed by us may also receive data if they observe banking secrecy and our written instructions under data protection law. These are mainly companies from the categories listed below.

With regard to the transfer of data to recipients outside the bank, it must first of all be noted that as a bank we are under a duty to maintain secrecy about any customer-related facts and evaluations of which we may have knowledge. We may only disclose information about you if we are legally required to do so, if you have given your consent or if processors commissioned by us guarantee compliance with banking secrecy and the provisions of the FADP and, if applicable, GDPR. Under these conditions, recipients of personal data may be, for example:

- Other entities of the Deutsche Bank Group
- Public authorities and institutions (e. g., Money Laundering Reporting Office Switzerland; Meldestelle für Geldwäscherei).
- Other service providers which assist the bank in organising events for and meetings with prospects or which provide services regarding support / maintenance of electronic data processing/ IT applications, archiving, document processing, data screening for anti-money laundering purposes, data destruction, real estate appraisals, IT services, marketing or telephony

Other recipients of data may be those offices to which you have given your consent to the transfer of data or with respect to which you have exempted us from banking secrecy / data protection by means of a declaration of consent.

5. Is data transferred to a third country or to an international organisation

Data will only be transferred to countries outside Switzerland (so-called third countries) if this is required for organising your future business relationship, in the context of outsourcing or if you have given us your consent. We only transfer personal data abroad to countries which are considered to provide an adequate level of data protection, or in the absence of such legislation that guarantee adequate protection, based on appropriate safeguards (e.g. standard contractual clauses adopted by the European Commission or another statutory exemption) provided by local applicable law. If and to the extent required by applicable law, we implement the necessary legal, operational and technical measure and/or enter into an agreement with you before such transfers. A list of the countries in which Deutsche Bank Group operates can be found here: [Deutsche Bank Locations](#).

6. How long will my data be stored?

We process and store your personal data as long as there is an interest from your side and our side to have a future business relationship with you or is required for the performance of our statutory obligations.

If the data are no longer required they are regularly deleted, unless their further processing (for a limited time) is necessary for the following purposes:

- Compliance with records retention periods under the Money Laundering Act (Geldwäschereigesetz)
- Preservation of all forms of relevant information when litigation is ongoing or reasonably anticipated

7. To what extent is automated decision-making (including profiling) carried out?

As a rule, we do not make decisions based solely on automated processing to establish and implement the business relationship. If we use these procedures in individual cases, we will inform you of this separately, provided that this is prescribed by law.

8. What data protection rights do I have?

You have a right to access and to obtain information regarding your data that we process. If you believe that any information we hold about you is incorrect or incomplete, you may also request the correction of your personal data. You also have the right to:

- object to the processing of your personal data.
- request the erasure of your personal data.
- request restriction on the processing of your data; and/or
- withdraw your consent where the bank obtained your consent to process personal data (without this withdrawal affecting the lawfulness of any processing that took place prior to the withdrawal). Where we process your data on the basis of your consent, or where such processing is necessary for entering into or performing our obligations under a contract with you, you may have the right to request your data be transferred to you (known as the 'data portability' right). You also have the right to ask the bank for information regarding some or all of the personal data we collect and process about you. The bank will honor such requests, withdrawal or objection as required under applicable data protection rules but these rights are not absolute: they do not always apply and exemptions may be engaged. We will usually, in response to a request, ask you to verify your identity and/or provide information that helps the bank to understand your request better. If we do not comply with your request, we will explain why.



9. Exercising your rights

To exercise the above rights, please contact:

Deutsche Bank (Switzerland) Ltd
Data protection officer
Hardstrasse 201, Prime Tower
8005 Zürich
Tel: +41 58 111 0111
E-Mail: dbs.dpo@db.com

10. Changes to your data

We are committed to keeping your data accurate and up to date. Therefore, if your data changes, please inform us of the change as soon as possible.

11. Updates to this Notice

This Notice was updated in September 2023. We reserve the right to amend it from time to time. Any amendment or update to this Notice we will make available to you here: <https://country.db.com/switzerland/company/regulatory-information/data-protection-information>. Please visit the bank's website frequently to understand the current Notice, as the terms of this Notice are closely related to you.

12. EU representative for Deutsche Bank (Schweiz) AG

The bank designated the below Deutsche Bank entity as the EU representative in compliance with Art. 27 EU GDPR:

Deutsche Bank AG
Data Protection Officer
Taunusanlage 12
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E-Mail: datenschutz.db@db.com
