

ANNEXURE-B

Format of Complaints against Custodians and DDPs to be displayed on their websites

A. Data for the Month ending – Feb 2023

| S.No | Received from | Pending at the end of the last month | Received during the month | Resolved during the month* | Total Pending at the end of month ** | Complaints Pending > 1 month | Average Resolution time^ (in days) |
|------|-------------------------------------|-----------------------------------------------|------------------------------------|-------------------------------------|-----------------------------------------------|------------------------------|---------------------------------------------|
| 1 | Directly from Investors | 1 | 1 | 1 | 1 | Nil | 29 |
| 2 | SEBI (SCORES) | Nil | Nil | Nil | Nil | Nil | NA |
| 3 | Stock Exchanges (if relevant) | Nil | Nil | Nil | Nil | Nil | NA |
| 4 | Other Sources (if any) | Nil | Nil | Nil | Nil | Nil | NA |
| 5 | Grand Total | 1 | 1 | 1 | 1 | Nil | 29 |

B. Trend of Monthly disposal of complaints for the Financial Year-

| | | Carried | forward | Receiv | ved | Resol | ved | Pending | at |
|----|---------------|---------|----------|--------|-----|---------|-----|------------|-----|
| SN | Month | from | previous | during | the | during | the | the end of | the |
| | | month | | month | | month * | | month ** | |
| 1 | April 2022 | Nil | | 2 | | 2 | | Nil | |
| 2 | May 2022 | Nil | | 2 | | 2 | | Nil | |
| 3 | June 2022 | Nil | | 5 | | 5 | | Nil | |
| 4 | July 2022 | Nil | | 2 | | 2 | | Nil | |
| 5 | August 2022 | Nil | | 3 | | 3 | | Nil | |
| 6 | Sep 2022 | Nil | | 2 | | 2 | | Nil | |
| 7 | October 2022 | Nil | | 0 | | 0 | | Nil | |
| 8 | Nov 2022 | N | lil | 1 | | 1 | | Nil | |
| 9 | Dec 2022 | N | lil | 0 | | 0 | | Nil | |
| 10 | January 2023 | Nil | | 2 | | 1 | | 1 | |
| 11 | February 2023 | 1 | | 1 | | 1 | | 1 | |
| 12 | March 2023 | | | | | | | | |
| | Grand Total | - | 1 | 20 | | 19 | | 2 | |

^{*} Inclusive of complaints of previous months resolved in the current month.

^{**} Inclusive of complaints pending as on the last day of the month.



^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

C. Trend of Annual (FY) disposal of complaints (For 3 years on rolling basis)-

| SNo | Year | Carried forward | Received | Resolved | Pending at the |
|-----|-------------|--------------------|-----------------|-----------------|-----------------|
| | | from previous year | during the year | during the year | end of the year |
| 1 | 2020-21 | Nil | 2 | 2 | Nil |
| 2 | 2021-22 | Nil | 9 | 9 | Nil |
| 3 | 2022-23 | Nil | 20 | 19 | 1 |
| | Grand Total | Nil | 31 | 30 | 1 |